

Audit/Inspection Checklist

Code Title	Risk Management and Control	Code No.	OSHJ-CoP-01	Rev. No.	1.0
-------------------	-----------------------------	-----------------	-------------	-----------------	-----

Sr.	Checklist Item	Clause in the Code	Acceptable means of compliance
1	Are the foreseeable hazards identified?	5.2.1: Identify the Hazards	<ul style="list-style-type: none"> – Copy of Risk assessment – Copy of Hazard register
2	Is risk evaluation criteria described and documented?	5.2.3: Evaluate the Risk	<ul style="list-style-type: none"> – Documented Risk evaluation criteria
3	Are the control measures identified for the risks implemented?	6: Development of Risk Control	<ul style="list-style-type: none"> – Record of Risk assessment findings' communication – Copy of Risk management plan – Copy of Risk assessment review document – Visual verification, where possible
4	Are risk assessment findings recorded and communicated?	6.3: Record and Communicate the Findings	<ul style="list-style-type: none"> – Copy of Risk assessment record/ – Copy of communication records/ (or interview the employees to check their awareness)
5	Are the risk assessment records reviewed periodically?	6.4: Review	<ul style="list-style-type: none"> – Copy of Risk assessment review record
6	Is the risk management plan available and implemented?	6.5: Implementation of Risk Management Plan	<ul style="list-style-type: none"> – Copy of the Risk management plan (Only for Level 5)
7	Are employees trained for conducting risk assessment?	7: Training	<ul style="list-style-type: none"> – Check for training certificates/records